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Customer Number

Patent
Case No.: 59376US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: WATSON, JAMES E.
Application No.: 10/726248 Confirmation No.: 3537
Filed: December 2, 2003
Title: MULTIPLE LED SOURCE AND METHOD FOR ASSEMBLING SAME

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

☒ transmitted to United States Patent and Trademark Office on the date shown below
via the Office electronic filing system.

August 17, 2007 Andrea Newhouse
Date Signed by: Andrea Newhouse

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

Fees

- ☐ It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.
- ☒ The fee required under 37 CFR § 1.17(p) will be paid at the time of EFS-Web submission. In the event fees are not or cannot be paid at the time of EFS-Web submission, please charge any fees under 37 CFR § 1.17 which may be required to Deposit Account No. 13-3723.
- ☐ Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Copies of Documents

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Respectfully submitted,

Date

August 17, 2007

By:

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Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 1 of 1	Application Number	10/726248
	Filing Date	December 2, 2003
	First Named Inventor	Watson, James E.
	Confirmation Number	3537
	Attorney Case Number	59376US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 4,914,731	04-06-1990	Chen	
	A2	US- 5,302,999	04-12-1994	Oshida et al.	
	A3	US- 6,002,466	12-14-1999	Brauch et al.	
	A4	US- 6,587,573	07-01-2003	Stam et al.	
	A5	US- 2001/0033712	10-25-2001	Cox et al.	
	A6	US- 2003/0175000	09-18-2003	Caracci et al.	
	A7	US- 2003/0185508	10-2-2003	Fukuyama et al.	
	A8	US- 2003/0233138	12-18-2003	Spooner	
	A9	US- 2004/0159900	08-19-2004	Ouderkirk et al.	
	A10	US-			
	A11	US-			
	A12	US-			

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
	B1	EP	0 468 319	05-08-1996			
	B2	EP	1 372 008	12-17-2003			
	B3						
	B4						
	B5						
	B6						
	B7						

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
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	C3		

*Examiner:	Date Considered:
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